



1600

1620 Rec'd PCT/PTO 13 AUG. 2001 Receipt

#8/18/01

FBI CENTER 1600/2900

SEP 13 2001

RECEIVED

PATENT

DOCKET NO.: MTSU-1001US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: **ARAKI, et al.**

Serial No.: **09/806,925**

Group No.: **1645**

Filed: **April 6, 2001**

Examiner: **Unknown**

For: **PREVENTATIVES/REMEDIES FOR INFECTION, ANTI-ENDOTOXIN AGENTS, VACCINE ADJUVANTS AND GROWTH PROMOTIONS**

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

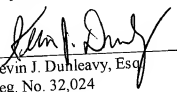
Sir:

Please note that there is an error in the title of the application on the Official Filing Receipt. The title, as indicated on the stamped PTO postcard, should read as follows: "Preventatives ~~or~~ Remedies for Infection, Anti-Endotoxin Agents, Vaccine Adjuvants and Growth Promoters".

Please make the necessary corrections and forward a corrected filing receipt.

Respectfully requested,

Dated: 8/6/01


Kevin J. Duhleavy, Esq.
Reg. No. 32,024

KNOBLE & YOSHIDA LLC
Eight Penn Center, Suite 1350
1628 John F. Kennedy Blvd.
Philadelphia, PA 19103
(215) 599-0600

RECEIVED

SEP 13 2001

TECH CENTER 1600/2900



Applicant: Mitsui Sugar Co., Ltd.
Serial No.: National Phase of PCT/JP99/0558
Filing Date: Herewith
Docket No.: MTSU-1001US
Invention: PREVENTATIVES OR REMEDIES FOR INFECTION, ANTI-ENDOTOXIN AGENTS, VACCINE ADJUVANTS AND GROWTH PROMOTIONS

The following items were filed at the United States Patent and Trademark Office on April 6, 2001:

Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 USC 371, Trans. of the Int'l App. into English, Copy of the Int'l. Search Report, Two Declaration of Inventors, Two Assignments & Recordation Forms, English trans. of an Amendment filed 9/21/00, English trans. of an Amendment filed 5/2/00, Notification of the Recording of a Change, Preliminary Amendment, Change of Address for Correspondence and a \$1700.00 check for filing fees.



SEP 13 2001

TECH CENTER 1600/2900



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/806,925	06/20/2001	1645	1790	MTSU-1001US	5	60	4

CONFIRMATION NO. 7925

FILING RECEIPT



CC000000006257141

21302
KNOBLE & YOSHIDA
EIGHT PENN CENTER
SUITE 1350, 1628 JOHN F KENNEDY BLVD
PHILADELPHIA, PA 19103

Date Mailed: 07/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Seiichi Araki, Tsuchiura-shi, JAPAN;
Mamoru Suzuki, Tsukuba-shi, JAPAN;
Takeo Mizutani, Yokohama-shi, JAPAN;
Kenji Koge, Kamakura-shi, JAPAN;
Yukie Nagai, Chigasaki-shi, JAPAN;
Hiroshi Murakami, Kamakura-shi, JAPAN;
Toshikazu Kawai, Kamakura-shi, JAPAN;
Jun Kashimura, Tokyo, JAPAN;
Takeo Shimizu, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP99/05583 10/06/1999

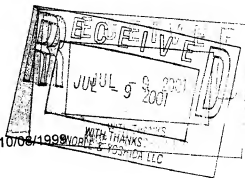
Foreign Applications

JAPAN 10-301745 10/09/1998
JAPAN 11-35047 02/12/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No



Title

ta *Endo*
Preventives/remedies for infection, anti-endotoxin agents, vaccine adjuvants and growth promoters

Preliminary Class

424

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 07/03/2001





RECEIVED

SEP 13 2001

TECH CENTER 1600/2900

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231